



# US REGULATION OF FINANCIAL INSTITUTIONS

## COURSE

Just as there is significant American investment in Australia, there is significant investment as well moving from Australia to the United States, particularly in the area of intellectual property. In addition, many Australian companies raise capital in the US capital markets.

Accordingly, the subject has three significant aims: first, to learn the current US regulatory system; second, to focus on the strains in that system as it attempts to deal with a global economy, global markets and the ebb and flow of such international economic problems as the 'sub prime crisis'; and third, the role of the regulatory lawyer and some of the skills which enable such a lawyer to provide counsel to clients as they deal not just with the US, but any regulatory system.

Thus, if successful in its aims, the subject will allow an Australian law student to have a deeper understanding of the Australian regulatory system and its strengths and weaknesses because of a comparison with the US regulatory system.

## DATES

**Saturday 6 September, 9-4.30pm**

The U.S. Governmental System as it relates to Financial Institution Regulation

**Saturday 20 September, 9-4.30pm**

U.S. Regulation of Financial Institutions generally and of the Banking Industry

**Saturday 27 September, 9-4.30pm**

U.S. Regulation of the Insurance and Securities Industries

**Saturday 1 November, 9-4.30pm**

The Current Crisis and the American response

## PRESENTER

**Professor McAniff**, an attorney, has spent his entire 41-year career at O'Melveny & Myers and is currently Of Counsel to the firm. O'Melveny & Myers LLP is one of the world's most successful and enterprising law firms. Established in 1885, the firm maintains 13 offices around the globe. As one of the world's largest law firms, O'Melveny & Myers' expertise spans virtually every area of legal practice. He specializes in banking law, particularly where the banking and securities industries intersect. Previously named by the National Law Journal as one of the 20 leading banking lawyers in the U.S., Professor McAniff also has an extensive background in corporate and securities law, in mergers and acquisitions and in public financial transactions. Ted has been lead counsel in business transactions of all kinds, including venture capital start-up offerings, major private placements, off-balance sheet commercial paper programs, major project financings, restructurings, and, during his time with a solicitors firm in Australia, multinational natural resource project financings.

## POTENTIAL PARTICIPANTS:

- > Legal Practitioners
- > Legal Clerks
- > Advocates
- > Professionals engaged in Finance and Banking

## VENUE:

UTS: LAW  
1-59 Quay Street  
Haymarket NSW 2007

## COST:

\$425 incl GST per session or  
\$1595 incl GST for all sessions  
10% discount Alumni

## CLE/CPD

1 CLE/CPD point = 1 hour of participation

## FURTHER DETAILS

For further information on this course, or any in-house training you may require please contact:

Professional Development Unit

Ph: +61 2 9514 3793 or 3085

Fax: +61 2 9514 3112

Email: pdu.law@uts.edu.au



# COURSE REGISTRATION FORM

## UTS:LAW PROFESSIONAL DEVELOPMENT



UNIVERSITY OF  
TECHNOLOGY SYDNEY

Please register me in UTS:LAW US Regulation of Financial Institutions

Date: 6 September 2008

Date: 27 September 2008

Date: 20 September 2008

Date: 1 November 2008

Full Fee Paying

Cost: \$425 (incl GST) per session or  
\$1595 (incl GST) for all sessions

Alumni

Cost: \$ 383.50 (incl GST) per session or  
\$ 1435.50(incl GST) for all sessions

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University of Technology, Sydney  
PO BOX 123  
Broadway NSW 2007

Fax: +61 2 9514 3112  
Ph: +61 2 9514 3793 or 3085  
Email: pdu.law@uts.edu.au

### CONDITIONS OF REGISTRATION

Closing date for registration: **4 days prior to commencement date.**

Late registrations will be considered depending on availability of places.

Cancellations made less than 7 working days prior to course commencement will incur an administration fee equal to 20% of course fee • Withdrawal after course commencement date will incur cost of full course fee • UTS reserves the right to cancel or re-schedule if circumstances necessitate - a full refund will be paid for the cancellation of any course.

**PRIVACY**

Please mark box if you do NOT wish to receive future promotional material from UTS:LAW.

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